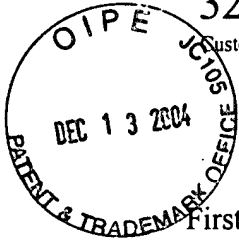


32692

Customer Number

Patent
Case No.: 58710US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: SWANSON, RONALD P.
Application No.: 10/719557 Group Art Unit: 3654
Filed: November 21, 2003 Examiner: John M. Jillions
Title: METHOD AND APPARATUS FOR CONTROLLING A MOVING WEB

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

December 9, 2004
Date

Signed by: Kathleen M. Sandvig

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

December 8, 2004
Date

By: Rick Franzen
Rick L. Franzen, Reg. No.: 51,702
Telephone No.: (651) 736-6432

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary)

Page 1 of 3

Application Number

10/719557

Filing Date

November 21, 2003

First Named Inventor

Swanson, Ronald P.

Art Unit

3654

Examiner Name

John M. Jillions

Attorney Case Number

58710US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 1,634,984	07-05-1927	Dickhaut	
	A2	US- 2,797,091	06-25-1957	Fife	
	A3	US- 2,821,387	01-28-1958	Faeber	
	A4	US- 3,373,288	03-12-1968	Otepka et al.	
	A5	US- 3,599,849	08-17-1971	Callan	
	A6	US- 3,942,696	03-09-1976	Pira et al.	
	A7	US- 3,966,105	06-29-1976	Curran	
	A8	US- 4,069,959	01-24-1978	Bartell et al.	
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	A13	US- 4,214,689	07-29-1980	Bartel et al.	
	A14	US- 4,243,167	01-06-1981	Sander	
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	A17	US- 4,336,900	06-29-1982	Pontoni	
	A18	US- 4,403,533	09-13-1983	Cox et al.	
	A19	US- 4,477,006	10-16-1984	Sharp	
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	A21	US- 4,573,619	03-04-1986	Grant	
	A22	US- 4,598,849	07-08-1986	Frye et al.	
	A23	US- 4,609,012	09-02-1986	Shelton	
	A24	US- 4,901,903	02-20-1990	Blanding	
	A25	US- 4,961,089	10-02-1990	Jamzadeh	
	A26	US- 4,964,557	10-23-1990	Sarkisian et al.	
	A27	US- 4,991,787	02-12-1991	Berg	
	A28	US- 5,059,286	10-22-1991	Beisswanger et al.	

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 3	Application Number	10/719557
	Filing Date	November 21, 2003
	First Named Inventor	Swanson, Ronald P.
	Art Unit	3654
	Examiner Name	John M. Jillions
	Attorney Case Number	58710US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A29	US- 5,072,414	12-10-1991	Buisker et al.	
	A30	US- 5,226,577	07-13-1993	Kohler	
	A31	US- 5,274,573	12-28-1993	Buisker et al.	
	A32	US- 5,360,152	11-01-1994	Matoushek	
	A33	US- 5,558,263	09-24-1996	Long	
	A34	US- 5,664,738	09-09-1997	Fife	
	A35	US- 5,769,298	06-23-1998	Plumb	
	A36	US- 5,834,877	11-10-1998	Buisker et al.	
	A37	US- 6,047,873	04-11-2000	Carlberg et al.	
	A38	US- 6,192,955 B1	02-27-2001	Rice	
	A39	US- 6,323,948 B2	11-27-2001	Haque et al.	
	A40	US- 6,354,478 B1	03-12-2002	Brückel	

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (If known)			
	B1					

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Article: Williams, "Ten Web Guiding Truisms," Fife Corporation, P.O. Box 26508, Oklahoma City, OK 73126-0508, date unknown but prior to filing date of instant application, 12 pages.	
	C2	Seminar: Shelton, Web Handling Research Center a National Science Foundation University/Industry Cooperative Research Center, WHRC Semiannual Meeting, April, 1988, 13 pages.	
	C3	Conference: Good et al., "Wrinkling of Webs Due to Twist," Fifth International Conference on Web Handling, June 6-9, 1999, 15 pages.	
	C4	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, November 1997, pp. 14-1 to 14-38.	
	C5	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, June 1998, pp. 13-1 to 13-28.	

*Examiner:	Date Considered:
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	Art Unit	3654
	Examiner Name	John M. Jillions
	Attorney Case Number	58710US002

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C6	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, October 1998, pp. 12-1 to 51.	
	C7	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, October 1999, pp. 12-1 to 12-27.	
	C8	Project Report: Shelton et al., "Lateral Control of a Web (9700-1), WHRC Project Report, June 2000, pp. 12-1 to 12-59.	
	C9	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, October 2000, pp. 9-1 to 9-8.	
	C10	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, June 2001, pp. 8-1 to 8-43.	
	C11	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, October 2001, pp. 3-1 to 3-28.	
	C12	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, June 2002, pp. 7-1 to 7-47.	
	C13	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, October 2002, pp. 6-1 to 6-55.	
	C14	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, June 2003, pp. 5-1 to 5-43.	
	C15	Project Report: Shelton, "Lateral Control of a Web (9700-1), WHRC Project Report, June 2004, pp. 5-1 to 5-90.	
	C16	Book: Good, "Proceedings of the Sixth International Conference on Web Handling," Web Handling Research Center, Oklahoma State University, Stillwater, Oklahoma, June 10-13, 2001, Title pages and Table of Contents.	
	C17	Conference: Shelton, "Installation and Performance of Classical Web Guides," Seventh International Conference on Web Handling, Oklahoma State University, Stillwater, Oklahoma, June 1-4, 2003, 22 pages.	

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